

# The physics of non-BPS black holes

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The supersymmetric, the extremal and the ugly - solutions in string theory , Saclay 2011

# Outline

- Motivation & goals
  - 1 Remarks on fuzzballs
  - 2 Thermalisation/Scrambling vs fuzzball
- Extremal  $J = 0$  non-BPS
  - 1 Solution & charges
  - 2 Different frames provide different insights/checks
  - 3 Constituent model
  - 4 Checks : probe and sugra multi-center solutions
- Extremal  $J \neq 0$  non-BPS (see talk by [Yeranyan](#))
  - 1 Almost BPS
  - 2 Composite non-BPS (see talk by [Bossard](#))
- Non-extremal (see talks by [Vercnocke](#), [Puhm](#), [Denef](#))

# From BPS to non-BPS : motivation & challenges

Finite temperature BHs are **non-extremal**  $\implies$  **Non-BPS** BHs

## Open problems

- Gravitational collapse, BH formation, critical behaviour, ...
- Information paradox
- Thermalisation  $\iff$  foundations of (non-equilibrium) statistical mechanics
- Emergence of spacetime, classical causal structure

## Immediate goals & tools

- Entropy counting
- BH deconstruction, constituent models, fuzzball, ...
- Probes

# Entropy counting

- 1 Identification of the relevant **degrees of freedom** (in the regime of parameters where BHs exist !!)
- 2 Counting **degeneracy** of states

## Challenges

- Overcome susy non-renormalisation theorems (when using 'open string' description)
- Develop strongly coupled tools dealing with non-planarity

## Hopes

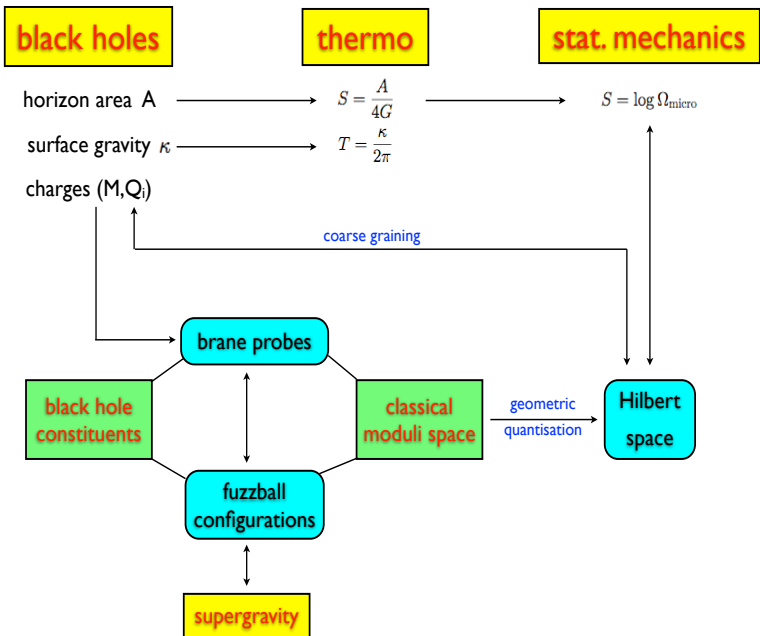
- **Semiclassical** methods : extending Brown-Henneaux  $\implies$  Kerr/CFT, hidden symmetries, ...
- **Integrability ?**, special kinematic regimes in observables, ...
- Use of **typicality** : probability theory & laws of large numbers constraining the universal behaviour given some properties of the hamiltonian system

# BH deconstruction, constituent models & fuzzball

- Branes expand/polarise in the presence of fluxes
  - ① **Supertubes** in flat space  $\iff$  (small) D1-D5 system
  - ② **Giant gravitons** in AdS  $\iff$  LLM geometries

These mechanisms also exist in **non-BPS** set-ups

- BH charges split into fundamental **bits**/constituents  
Entropy from **geometric quantisation** of the classical moduli space of such configurations
- Existence of multi-center configurations : with/out horizons, regular, scaling solutions, ...



# Probes

- 1 Supertubes and giant gravitons were first discovered using this approximation
- 2 Single (**abelian**) branes effective actions known in **any** curved on-shell background
- 3 Well defined regime of validity

## Some caveats

- Do some of these calculations capture the full dynamics ?  
Complicated bound states may have intricate **interactions** among its **constituents**
- **Non-abelian** effects may be required
  - 1 Turn-on world volume fluxes in high dimensional branes inducing further charges
  - 2 Consider non-abelian D-branes in curved backgrounds (not fully understood)

# Comments on Fuzzball

## Main conceptual idea

Quantum gravity effects not merely confined to the singularity, but spread over larger scales (horizon scale)

Large phase space is responsible for this

[Mathur]

- 1 Consistent with holographic principle
- 2 Can resolve the information paradox

## Comment based on AdS/CFT

BHs  $\sim$  thermal states (canonical ensemble)

**Lorentzian** BHs do have **singularities**

**Euclidean** BHs are smooth (with suitable b.c. !!), but have **NO** information about the interior of the BH, only about the degeneracy of states (**saddle point**)

Rules for semiclassical lorentzian/euclidean gravitational path integrals ?



## Thermalisation : Bulk perspective

- Causality & no-cloning considerations  $\implies \tau \sim \beta \log S$   
(scrambling time) [Hayden-Preskill; Sekino-Susskind; Susskind]  
Much faster than any diffusion process !!
- Whatever picture you believe in  $\implies \exists$  some d.o.f on the  
(stretched) horizon : how can you explain this time scale ?

## Thermalisation : Field theory perspective

- How do we describe the approach to thermal equilibrium in QM ? Are notions such as 'quantum ergodicity' meaningful ? [von Neumann; Srenidcki]
- Spectrum of heavy non-susy excitations may be very complicated ... use a **distribution of level spacings** (as in nuclear physics !!) : can we identify generic features giving rise to such fast time scales ?
- Can we think of the bulk fuzzball picture in terms of such level spacing distributions and declare that a single quanta thrown to the black hole will have access, through interactions, to a non-trivial phase space making fast scrambling possible ?

# A non-extremal fuzzball example & non-trivial check

## Facts

- 1 First solutions of non-extremal fuzzball configurations were found by **Jejjala, Madden, Ross & Titchener** in the D1-D5-P system
- 2 They were found to be **unstable** by **Cardoso, Dias, Hovdebo, Myers**

## Feature or bug ?

Even though these configurations are *atypical* and *unstable*, it was proved by **Chowdhury & Mathur** that the energy radiated through these unstable modes  $\equiv$  Hawking radiation for these modes

Even if we may not agree on whether supergravity (through proper geometric quantisation) should contain *all* the entropy of the black hole, these calculations do give evidence for

**Hawking radiation  $\sim$  leakage of energy from a complicated 'fuzzball cap'**

# Non-BPS supergravity solutions

Asymptotically flat solutions in STU, N=2 set-ups coming from d=11 or type IIA/B supergravity

## ① Extremal non-BPS

- ▶  $J=0$  : **general** single center and (partial) multi-center solution  
+ **constituent model**
- ▶  $J \neq 0$  : **general** single center
  - ① **Almost BPS** multi-center (single orientation change)
  - ② **Composite non-BPS** multi-center (two orientation changes)

## ② Non-extremal non-BPS (partial results)

Solutions obtained via

- **U-duality** in d=4
- M-theory ansatz
- Coset construction & **nilpotent** orbits (group theory methods)

Dictionary between them well understood

# Extremal $J=0$ general solution

Theory : **STU** truncation (IIA on  $T^6$ ) physical fields

- Physical fields : 4d metric, 4 gauge fields  $A^\Lambda$ ,  
3 complex scalars  $z^j = x^j - iy^j$
- Charges :  $M, J, \Gamma = (P^\Lambda, Q_\Sigma)$   
 $P^0 \equiv \text{D6}, P^i \equiv \text{D4}, Q_0 \equiv \text{D0}, Q_i \equiv \text{D2-brane}$

## Parameter counting

- Full solution has **16** parameters :

$$M, J, (Q_\Lambda, P^\Lambda), z_\infty^i \quad \Lambda = (0, j) \quad j = 1, 2, 3$$

- Absence of angular momentum  $J=0$
- Extremality  $\implies M=M(Q_\Lambda, P^\Lambda, z_\infty^i)$
- U-duality :  $\exists \text{SL}(2, \mathbb{R})^3 \implies 9$  parameters

## D0-D4-D4-D4 frame

- Standard static spherically symmetric **metric**

$$ds^2 = -e^{2U} dt^2 + e^{-2U} (dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)),$$

where

$$e^{-4U} = -4H_0 H^1 H^2 H^3 - B^2,$$

is determined by four harmonic functions

$$\sqrt{2}H_0 = -(1 + B^2) + \frac{\sqrt{2}Q_0}{r}, \quad \sqrt{2}H^i = 1 + \frac{\sqrt{2}P^i}{r}.$$

- **Gauge** fields & **Scalar** moduli

$$z^i = \frac{B - i e^{-2U}}{s_{ijk} H^j H^k}.$$

- **5 parameters** :  $Q_0$ ,  $P^i$  and 3-equal B field.

[Cardoso,Ceresole,Dall'Agata,Oberreuter,Perz][Gimon,Larsen,S]

# Mass

- Non-BPS mass ( $Q_0 < 0$ )

$$2G_N M_{\text{Non-BPS}} = \frac{1}{\sqrt{2}} \left( |Q_0| + \sum_{i=1}^3 P^i (1 + B^2) \right)$$

Sum of 4 half-BPS constituent masses with no binding energy

- BPS mass ( $Q_0 > 0$ )

$$2G_N M_{\text{BPS}} = 2|Z_\Gamma| = \frac{1}{\sqrt{2}} \left| Q_0 + \sum_{i=1}^3 P^i (1 + iB)^2 \right|$$

Existence of non-trivial binding energy

- Mass difference

$$8G_N^2 (M_{\text{Non-BPS}}^2 - M_{\text{BPS}}^2) = 4|Q_0| B^2 \sum_i P^i \geq 0$$

only vanishes for  $B = 0$ .

# D0-D6 frame

## U-duality transformation

- Use U-duality transformations

$$M_j = \begin{pmatrix} a_j & b_j \\ c_j & d_j \end{pmatrix} : z^j \longrightarrow \frac{a_j z^j + b_j}{c_j z^j + d_j}$$

to map a **D0-D6** ( $q_0, p^0$ ) system with a  **$\overline{\text{D0-D4-D4-D4}}$**  ( $q < 0, p^i$ ) system using the  $\text{SL}(2, \mathbb{R})$  transformation properties of the 3-tensor

$$\begin{aligned} p^0 &= a_{111}, \quad p^1 = a_{011}, \quad p^2 = a_{101}, \quad p^3 = a_{110} \\ q_0 &= -a_{000}, \quad q_1 = a_{100}, \quad q_2 = a_{010}, \quad q_3 = a_{001} \\ a'_{i'j'k'} &= (M_1)_{i'}^i (M_2)_{j'}^j (M_3)_{k'}^k a_{ijk} \end{aligned}$$

- Solution**

$$M_i = \frac{-1}{\sqrt{2\lambda\rho_i}} \begin{pmatrix} \rho_i\lambda & -\rho_i \\ \lambda & 1 \end{pmatrix}, \quad \rho_i = \sqrt{\frac{-qp^i}{\frac{1}{2}s_{ijk}p^j p^k}}, \quad \lambda = \left(\frac{p^0}{q_0}\right)^{1/3}$$

# D0-D6 frame

## Parameters & Mass

- **5 Parameters** : charges  $Q_0$ ,  $P^0$  and 3 B-fields  $B_i$  determined in terms of  $\Lambda_i = \lambda v_i$

$$\Lambda_1 \Lambda_2 \Lambda_3 = \frac{P^0}{Q_0}$$

$$\Lambda_1 (1 + B_1^2) - \Lambda_1^{-1} = \Lambda_2 (1 + B_2^2) - \Lambda_2^{-1} = \Lambda_3 (1 + B_3^2) - \Lambda_3^{-1}$$

- **Mass**

$$\begin{aligned} 2^{3/2} G_N M &= \frac{P^0}{4} (1 + (\Lambda_1^{-1} + B_1)^2)^{1/2} (1 + (\Lambda_2^{-1} + B_2)^2)^{1/2} (1 + (\Lambda_3^{-1} + B_3)^2)^{1/2} \\ &+ \frac{P^0}{4} (1 + (\Lambda_1^{-1} + B_1)^2)^{1/2} (1 + (\Lambda_2^{-1} - B_2)^2)^{1/2} (1 + (\Lambda_3^{-1} - B_3)^2)^{1/2} \\ &+ \frac{P^0}{4} (1 + (\Lambda_1^{-1} - B_1)^2)^{1/2} (1 + (\Lambda_2^{-1} + B_2)^2)^{1/2} (1 + (\Lambda_3^{-1} - B_3)^2)^{1/2} \\ &+ \frac{P^0}{4} (1 + (\Lambda_1^{-1} - B_1)^2)^{1/2} (1 + (\Lambda_2^{-1} - B_2)^2)^{1/2} (1 + (\Lambda_3^{-1} + B_3)^2)^{1/2} \end{aligned}$$



# D0-D6 : constituent interpretation

- Transformed charge vectors : **D6-branes with fluxes**

$$\Gamma_I = \frac{1}{4} \left( P^0; -P^0/\Lambda_1, -P^0/\Lambda_2, -P^0/\Lambda_3; Q_0; P^0/(\Lambda_2\Lambda_3), P^0/(\Lambda_1\Lambda_3), P^0/(\Lambda_1\Lambda_2) \right)$$

$$\Gamma_{II} = \frac{1}{4} \left( P^0; -P^0/\Lambda_1, P^0/\Lambda_2, P^0/\Lambda_3; Q_0; P^0/(\Lambda_2\Lambda_3), -P^0/(\Lambda_1\Lambda_3), -P^0/(\Lambda_1\Lambda_2) \right)$$

$$\Gamma_{III} = \frac{1}{4} \left( P^0; P^0/\Lambda_1, -P^0/\Lambda_2, P^0/\Lambda_3; Q_0; -P^0/(\Lambda_2\Lambda_3), P^0/(\Lambda_1\Lambda_3), -P^0/(\Lambda_1\Lambda_2) \right)$$

$$\Gamma_{IV} = \frac{1}{4} \left( P^0; P^0/\Lambda_1, P^0/\Lambda_2, -P^0/\Lambda_3; Q_0; -P^0/(\Lambda_2\Lambda_3), -P^0/(\Lambda_1\Lambda_3), P^0/(\Lambda_1\Lambda_2) \right)$$

- Total charge vector & mass

$$\Gamma = \Gamma_I + \Gamma_{II} + \Gamma_{III} + \Gamma_{IV} = (P^0; \vec{0}; Q_0; \vec{0})$$

$$M = M_I + M_{II} + M_{III} + M_{IV}$$

# D0-D6 : Open string description

## Matching Taylor's picture-I

- d=1+6 **non-abelian** DBI action with gauge group  $U(4N)$  and gauge field fluxes

$$(F_{12}, F_{34}, F_{56})^I = (f_1, f_2, f_3)$$

$$(F_{12}, F_{34}, F_{56})^{II} = (f_1, -f_2, -f_3)$$

$$(F_{12}, F_{34}, F_{56})^{III} = (-f_1, f_2, -f_3)$$

$$(F_{12}, F_{34}, F_{56})^{IV} = (-f_1, -f_2, f_3)$$

induces zero D2 and D4-brane charges, but non-trivial D0-brane charge

$$n_0 = -\frac{n_6}{4} \frac{1}{6(2\pi)^3} \int \text{tr} F \wedge F \wedge F = -\frac{n_6 V_6 f_1 f_2 f_3}{(2\pi)^3}$$

# D0-D6 : Open string description

## Matching Taylor's picture-II

- Matching the sugra charge constraints the worldvolume fluxes

$$\frac{P^0}{Q_0} = \frac{M_6 n_6}{M_0 n_0} = -\frac{1}{(2\pi\alpha')^3 f_1 f_2 f_3}$$

- Matching gauge fluxes  $f_i$  with background parameters  $\Lambda_i$

$$\Lambda_i = -\frac{1}{2\pi\alpha' f_i}$$

- DBI mass equals **supergravity** ADM mass

$$M_{\text{DBI}} = T_6 \int \text{Tr} \sqrt{\det(G + (2\pi\alpha' F - B))} = M_{\text{Non-BPS}}$$

# D0-D6: Supergravity vs non-abelian DBI

- Masses agree (**why ?**)
- **Non-abelian** configurations exist for **any** value of the gauge fluxes  $f_i$
- **Supergravity** requires non-linear relation between fluxes & B-fields
- **Stability** ??

## Intersecting D3-brane frame

- Constituents : D3-branes making an **angle**  $\phi_i$  with the i-th  $T^2$

$$\cot \phi_i = 2\pi\alpha' f_i - B_i = -(\Lambda_i^{-1} + B_i)$$

- **Relative** angle between pairs of D3-branes :

$$\cot \vartheta_i^{AB} = \cot(\phi_i^A - \phi_i^B) = -\frac{1}{2} [\Lambda_i(1 + B_i^2) - \Lambda_i^{-1}]$$

# Intersecting D3-brane spectrum

Boundary conditions on the open strings stretched between **each pair** of D3-branes are twisted due to the relative angles  $\vartheta$ 's. The lightest states are **4 complex scalar fields** in the NS sector, living at the intersection, with masses

$$\alpha' m_1^2 = \frac{1}{2\pi} (-\vartheta_1 + \vartheta_2 + \vartheta_3),$$

$$\alpha' m_2^2 = \frac{1}{2\pi} (\vartheta_1 - \vartheta_2 + \vartheta_3),$$

$$\alpha' m_3^2 = \frac{1}{2\pi} (\vartheta_1 + \vartheta_2 - \vartheta_3),$$

$$\alpha' m_4^2 = 1 - \frac{1}{2\pi} (\vartheta_1 + \vartheta_2 + \vartheta_3)$$

# Intersecting D3-brane stability-I

## Absence of perturbative tachyons

A pair of constituent D3-branes is perturbatively stable if their relative angles satisfy

$$\begin{aligned}\vartheta_2 + \vartheta_3 &\geq \vartheta_1, & \vartheta_1 + \vartheta_3 &\geq \vartheta_2 \\ \vartheta_1 + \vartheta_2 &\geq \vartheta_3, & 2\pi &\geq \vartheta_1 + \vartheta_2 + \vartheta_3\end{aligned}$$

## Our system

For **any** pair (A,B), there **always** exists one  $T^2$  whose relative angle vanishes

$$\exists j \text{ such that } \vartheta_j^{AB} = 0$$

The other two relative angles are equal

$$\vartheta_i^{AB} = \vartheta_k^{AB} \quad i \neq k \quad (i, k \neq j) \quad \forall A, B$$

# Stability & Susy breaking

## Conclusion

- Supergravity fluxes are perturbatively stable
- There are more general solutions to the perturbative conditions

## Supersymmetry

- Each pair of constituents preserves susy
- Each triple of constituents preserves susy
- Susy is only broken when we add the fourth constituent
- Are there instabilities associated with 4 constituent susy breaking systems ?

# Constituent model

Given the most general extremal BH in a given duality frame  $E$ , there exist 4 half-BPS constituents with masses  $M_i$  such that the total BH mass equals

$$M_{\text{BH}} = \sum_{i=1}^4 M_i$$

The identification of the constituents is constructive

- 1 Identify the  $(\text{SL}(2, \mathbb{R}))^3$  transformation bringing the  $\overline{\text{D0-D4-D4-D4}}$  system to the frame  $E$
- 2 Apply such transformation to the 4-constituent charge vectors  $\Gamma_i$   
 $i = I, II, III, IV$

U-duality guarantees these properties hold anywhere extremal orbit.

[Gimon, Larsen, S]



# Constituent model : Probe checks

If there is **no binding energy**  $\implies$  add extra quanta with **no cost in energy**

- if quanta is among our **constituent bits** (or linear combinations)
- such probes should feel **no force**

## Probe

Using the most general single center extremal black hole, in a given duality frame, as a background in a DBI + WZ effective action describing the propagation of the extra quantum, there exist **precise cancellations** between gravitational, electromagnetic and scalar interactions giving rise

$$V_{\text{probe}} = V_{\text{DBI}} + V_{\text{WZ}} = 0$$

## BPS calculation

The same conclusion does **NOT** hold for BPS BHs when  $B \neq 0$

These are proper bound states, i.e.  $\exists$  non-trivial bound energy

# Constituent model : Supergravity checks

(particular) Multi-center configurations were found by [Gaiotto, Li, Padi](#)

- **Location** of the centers is **unconstrained**
- **Charges** carried by **each center** match our constituent analysis

These results are consistent with our interpretation

For  $B = 0$ , the same constituent picture was used to account for the BH entropy, extending the Strominger-Vafa counting argument, using the d.o.f. localised at the D3-brane intersections by [Horowitz & Empanan](#)

# Comments on BPS vs non-BPS

- 1 **Single center** : non-BPS exist everywhere in moduli space; BPS do NOT exist.
- 2 **Multi center** : non-BPS exist everywhere in moduli space; BPS only exist for

$$\sum_{i < j} B_i B_j \geq 1$$

- 3 **Distance** between BPS centers is **fixed**

$$R = |\vec{x}_1 - \vec{x}_2| = -\frac{P^0}{\sin \alpha} = \frac{|Q_0 + iP^0 \prod_{i=1}^3 (1 + iB^i)|}{\sum_{i < j} B^i B^j - 1},$$

whereas it is **free** in the non-BPS case.

- 4 Constituents are half-BPS in both cases, but they are **mutually local** in the BPS case, whereas **mutually non-local** in the non-BPS one
- 5 Two scalars in the vector multiplet parameterise **flat directions** of the potential in the non-BPS case, whereas this is never the case in the BPS case.

# Almost BPS

- 1 Goldstein & Katmadas observed that just by  $\star_4 \rightarrow -\star_4$  in the hyper-Kähler base metric, one could describe extremal non-BPS solutions by similar equations to the one described in the BPS context
- 2 These were exhaustively studied by Bena, Dall'Agata, Giusto, Ruef, Warner, ...
  - ▶ Single center most general solution including angular momentum  $J$   
In the same frame as before, solutions carry the same mass but have intrinsic  $J$
  - ▶ Existence of multi-center configurations, involving black rings, BHs ...  
As soon as  $J \neq 0$  regularity typically constraints the location of the center
- 3 Microscopics ? : bound state of three types of 1/4 BPS supertube-bits that mutually break susy

# Composite non-BPS

Work by [Bossard & Ruef, 1106.5806](#)

Use coset methods and study **nilpotent** orbits to obtain extremal solutions  
Besides recovering previous solutions, new **composite non-BPS** solutions

## Mutually non-local centers

Each center may carry **intrinsic** angular momentum, but their interaction can generate an extra piece

$$J = \sum_i J_i + J_{\text{int}}$$
$$J_{\text{int}}^{ij} = P_i^\Lambda Q_{j\Lambda} - Q_{i\Lambda} P_j^\Lambda$$

# Composite non-BPS-II

## Charges & regularity

$$2\sqrt{2}M = -l_1 l_2 l_3 p^0 - m_0 \sum_i l_i p^i + \sum_i \frac{1 + m_0^2}{l_i} q_i \quad \text{Mass}$$

Asymptotic flatness requires  $1 + m_0^2 = h l_1 l_2 l_3$

Regularity requires  $q_0 = 0$  (absence of D0-brane charge) [in their frame]

## Fundamental 2-center 'bit'

Minimal two center solution with  $J_{\text{int}} \neq 0$

- One center :  $\tilde{Q}_1, \tilde{P}^2, \tilde{P}^3$  and a free  $\tilde{P}^0$
- Second center : All  $Q_i$ , at least 2  $P^i$  and a free  $P^0$

# Non-extremal non-BPS

Besides the work already mentioned earlier

- Work by [Camps, Emparan, Figueras, Giusto, Saxena](#) describing black rings in Taub-Nut and the interactions of [D0-D6 at finite temperature](#), showing the existence of stable bound states at large enough  $B$  field (large enough thermal excitation)
- Partial results on single center and (particular) multi-center configurations
- Very little work in the direction outlined in [Jan de Boer's talk](#)
- Interesting work involving [probe](#) calculations in non-extremal BHs  
See talks by [Vercnocke, Puhm, Denef](#)

# Open questions

Far too many ... besides the ones already mentioned and focusing on the second part of the talk, some more precise directions to explore are

- **Quiver** gauge theories for extremal BHs
  - 1 Non-renormalisation properties, stability, 'bubble' equations, ...
  - 2 IR emergence of CFTs  $\iff$  connection to **AdS<sub>2</sub>** physics, Kerr/CFT, ...
- Physics of '**extremal**' **supertubes**
- Importance of **non-abelian** structure
- More general supergravity solutions can allow to study
  - 1 Wall crossing phenomena in non-BPS set-up
  - 2 Bubbling picture from a purely **AdS<sub>2</sub>** and **AdS<sub>3</sub>** perspective [work by **Bobev, Niehoff, Warner**]